Mark Sidel Curriculum Vitae

Doyle-Bascom Professor of Law and Public Affairs University of Wisconsin-Madison

Research affiliations:

Honorary Professor in Law, Liverpool Law School Research Associate, US-Asia Law Institute, NYU Law School Research Associate, Centre for Social Impact and Philanthropy (CSIP), New Delhi Research Associate, Center for Nonprofit Strategy and Management, Baruch College, City University of New York

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Academic and Professional Positions

Doyle-Bascom Professor of Law and Public Affairs University of Wisconsin-Madison, 2011 – present

Faculty affiliate: La Follette School of Public Affairs; Center for Southeast Asian Studies; Center for South Asia (Director, 2013-2015); Center for East Asian Studies; Center for Nonprofits and Community Studies

Teaching fields: Nonprofit and Philanthropic Organizations; Philanthropy and the Law; Human Trafficking and Involuntary Servitude; Contracts; Law and Society in Asia; Philanthropy and the Law; Torts

Visiting Professor of Law, Cardozo Law School, 2020-2023

Visiting Professor of Law, Brooklyn Law School, 2021-2022

Honorary Professor in Law, Liverpool Law School, 2022-ongoing

Research Associate, Centre for Social Impact and Philanthropy (CSIP), Ashoka University (New Delhi, India), 2021-ongoing

Research Associate, Marxe School of Public and International Affairs, Baruch College, CUNY, 2020-ongoing

Research Associate, US-Asia Law Institute, NYU Law School, 2020-ongoing

Visiting Scholar, University of Western Australia Law School (Perth, Australia), 2020

Ian Potter Foundation Fellow, Australian Centre for Philanthropy and Nonprofit Studies, QUT (Brisbane, Australia), 2018

Charles Stewart Mott Foundation Visiting Chair in Community Foundations Lilly Family School of Philanthropy, Indiana University, 2015-2017

Professor of Law and Lauridsen Family Fellow, University of Iowa, 2000-2011

University of Iowa Faculty Scholar, 2006-2010 Research Scholar, Obermann Center for Advanced Studies, 1999-2010 Chair, University of Iowa Research Council, 2004-2005 Executive Board, University of Iowa Center for Human Rights, 2006-2011 Advisory Board, University of Iowa Press, 2003-2012 Associate Professor of Law, University of Iowa, 2000-2005

Visiting Professor of Law, Harvard Law School, 2005-2006

Teaching: Philanthropy and the Law; Law and Society in Southeast Asia; Reading Group on Human Trafficking and Involuntary Servitude

Consultant (Asia), International Center for Not-for-Profit Law (ICNL), 2008-ongoing (supported by ICNL, MacArthur Foundation, Ford Foundation, Open Society Foundations, and other funding)

Consultant, United Nations Development Programme (on civil society and law in Asia), 2015-2016; (on civil society and philanthropy in comparative perspective, focusing on China, India, the Philippines, and Russia), 2011-2012

Consultant, Norwegian Center for Human Rights; Indevelop (Swedish International Development Agency); Embassy of Denmark (Hanoi/DANIDA); multiple consultancies on human rights and legal reform programs in China and Vietnam, 2008-2016

President, International Society for Third Sector Research (ISTR), 2008 – 2010

International Senior Advisor (Senior Legal Advisor), United Nations Development Programme (UNDP) and Vietnamese Ministry of Justice Programme on Access to Justice and Protection of Rights, 2010 – 2011

Senior Fellow, The Philanthropic Initiative (Boston), 2010-ongoing

Board Member, Society of American Law Teachers (SALT), 2011-2016 Member, Council on Foundations, Community Foundations National Standards Board, 2011-2016

Chair, Association of American Law Schools (AALS) Nonprofit Law and Philanthropy Section, 2009-2010

Visiting Professor of Law, University of Miami Law School, 2010

Senior Fellow and Visiting Professor, University of Melbourne Law School (Australia), 2005 and 2008 (Civil Society and the Law in Asia)

Visiting Professor of Law, University of Victoria Faculty of Law (Canada), 2007, 2011 (co-teaching with Professor Andrew Harding on Human Rights and Civil Society in Asia) Visiting Professor of Law, Vermont Law School, 2006

Holder of the "chaire Asie" and Visiting Professor of Asian Law, Institute d'Etudes Politiques de Paris, 2004 (Vietnam and China)

W.G. Hart Lecturer in Law, Faculty of Law and Social Sciences, School of Oriental and African Studies, University of London, 2003

Academic Director, Philanthropy and the Law in South Asia (five nation major research project funded by Ford, Rockefeller, Myer and Himalaya foundations), 2000-2008

Program Officer for Philanthropy and the Nonprofit Sector, The Ford Foundation, 1999-2000 (New Delhi)

Lecturer in Law, Harvard Law School, 1998 (Law in China and Vietnam)

Consultant, The Ford Foundation, United Nations Development Programme, World Bank, Asian Development Bank, Oxfam, American Council of Learned Societies, Asia Foundation, McKnight Foundation, US State Department, US Justice Department, Serious Organized Crime Agency (UK), Refugee and Migrant Justice/Refugee Legal Centre (UK) Ministry of Justice (Vietnam), Vietnam Union of Science and Technology Associations (VUSTA), Brennan Center for Justice (NYU), WilmerHale, The Resource Alliance, and many other philanthropic and development institutions, 1995-present

Program Officer for Vietnam, The Ford Foundation, 1992-1995 (Bangkok and Hanoi)

Assistant Professor of Law, Lewis and Clark Law School, 1990-1992 (Portland)

Program Officer for Law and Legal Reform, The Ford Foundation, 1988-1991 (Beijing)

Associate, Baker & McKenzie, 1985-1988 (New York, Hong Kong, Beijing)

Publications

Books

Xi Jinping's China and the International Nonprofit Community Brookings Institution Press, in preparation, 2024

Regulatory Waves: Comparative Perspectives on Regulation and Self-Regulation in the Nonprofit Sector

Cambridge University Press, 2017 (ed. with Oonagh Breen and Alison Dunn)

<u>Central-Local Relations in Asian Constitutional Systems</u>
Hart Publishing (Bloomsbury), paper ed. 2016, originally published 2015 (ed. with Andrew Harding)

State, Society and the Market in Contemporary Vietnam: Property, Power and Values Routledge, 2013 (ed. with Hue-Tam Ho Tai); paperback edition published 2015

Regulation of the Voluntary Sector: Freedom and Security in an Era of Uncertainty Routledge, 2009

<u>The Constitution of Vietnam: A Contextual Analysis</u> Hart Publishing, 2009

Law and Society in Vietnam

Cambridge University Press, 2008; paper edition, 2010

Cinema, Law, and the State in Asia

Palgrave Macmillan, 2007, ed. with Corey Creekmur

<u>Vietnam's New Order: International Perspectives on the State and Reform</u> Palgrave Macmillan, 2006 (ed. with Stephanie Balme)

More Secure, Less Free? Antiterrorism Policy and Civil Liberties Since September 11 University of Michigan Press, 2004, revised paper edition 2007

Philanthropy and the Law in South Asia

Asia Pacific Philanthropy Consortium, 2004, revised supplement published 2007 (ed. with Iftekhar Zaman)

Old Hanoi

Oxford University Press, 1998

Other book-length work in progress

Volume on secessionary movements in the United States Volume on civil society and constitutional change in Vietnam, China and Cuba

Articles, Book Chapters, Commentaries, Monographs

Vietnam's Closing Legal Space for Civil Society, NYU Asia Law Perspectives, NYU Law School, January 2023, at https://usali.org/usali-perspectives-blog/vietnams-closing-space-for-civil-society

Reprinted in <u>Asia Sentinel</u>, February 1, 2023, https://www.asiasentinel.com/
pyvietnams-closing-legal-space-for and Bao Tieng Dan, February 3, 2023, https://baotiengdan.com/2023/02/04/viet-nam-chan-khong-gian-phap-ly-cua-xa-hoi-dan-su/

Constructing State-Controlled Volunteerism in China: The Administration of Volunteering in Beijing in the Xi Jinping Era (with Zhang Qianjin and Hu Ming), forthcoming in China Quarterly, 2023, https://www.cambridge.org/core/journals/china-quarterly/article/abs/building-statecontrolled-volunteering-in-china/12BD2DE445BCE7FD43642D8164ED08D4

Governing Chinese Civil Society through Contagion, forthcoming in Contagion, Technology, and Law at the Limits (Chua and Lee, eds., Hart Publishing, forthcoming in 2023)

Nonprofit Law in American Legal Education, forthcoming in <u>Journal of Nonprofit</u> Education and Leadership, 2023

The Struggle for Civil Society Research in China, Hong Kong, and Vietnam, forthcoming in Fowler and Biekart (eds.), <u>A Research Agenda for Civil Society</u> (Edward Elgar, 2022), https://www.elgaronline.com/display/book/9781800378155/book-part-9781800378155-30.xml

Rebooting China's Charity Law, NYU Asia Law Perspectives, NYU Law School, June 2022, at https://usali.org/usali-perspectives-blog/rebooting-chinas-charity-law

State, Civil Society and Covid in Vietnam, Alliance, February 2022 (with Pham Truong Son and Dana Doan, https://www.alliancemagazine.org/analysis/philanthropy-after-covid-what-can-we-learn-from-vietnam/

Securitizing Overseas Nonprofit Work in China, US-Asia Law Institute (USALI) Perspectives, NYU Law School, November 2021, at https://usali.org/usali-perspectives-blog/securitizing-overseas-nonprofit-work-in-china

Overseas NGOs and Foundations and Covid in China: Using a Securitized Framework in a Time of Crisis, EURICS (European Institute for Chinese Studies) Briefs/Analyses, August 2021 at http://www.eurics.eu/upload/document/20210729020749 eurics-july-august-2021.pdf

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Sidel and Hu, *Party, State, Civil Society and Covid-19 in China*, Nonprofit Policy Forum Special issue on Covid-19 and the nonprofit sector, March 2021, vol. 12, no. 1, 2021, pp. 199-211. https://doi.org/10.1515/npf-2020-0047

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Pallas and Sidel, Foreign Aid Reduction and Local Civil Society: Recent Research and Policy Guidance for Donors and International NGOs, Nonprofit Policy Forum, vol. 11, no. 1, 2020, pp. 20190045. https://doi.org/10.1515/npf-2019-0045

Hu and Sidel, *Civil Society and COVID in China: Responses in an Authoritarian Society*, Nonprofit and Voluntary Sector Quarterly special issue on Covid-19 and civil society, https://doi.org/10.1177/0899764020964596, 2020 (Hu Ming and Mark Sidel)

Climate of Fear: Hong Kong's New Security Law (co-written with three ICNL colleagues), International Center for Not-for-Profit Law (ICNL), 2020, at https://www.icnl.org/post/analysis/climate-of-fear-hong-kongs-new-security-law

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Nonprofit Legal Reform in India (International Center for Not-for-Profit Law, 2019), at www.icnl.org

Breen, Dunn and Sidel, *Riding the Regulatory Wave: Reflections on Recent Explorations of the Statutory and Non-statutory Non-profit Regulatory Cycles in 16 Jurisdictions*, Nonprofit and Voluntary Sector Quarterly, 2019, DOI: 10.1177/0899764019837602, https://journals.sagepub.com/doi/full/10.1177/0899764019837602

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The State of Asian Philanthropy, Alliance Magazine (Philanthropy and Social Investment Worldwide), May 2018, https://www.alliancemagazine.org/analysis/state-asian-philanthropy/

Guest editor of special issue of <u>Alliance Magazine (Philanthropy and Social Investment Worldwide)</u> on *Diaspora Giving*, at http://www.alliancemagazine.org/magazine/issue/march-2018/, March 2018

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Analysing Central-Local Relations in Asian Constitutional Systems: Decentralising Power in 21st Century Asia, in Central-Local Relations in Asian Constitutional Systems (Hart Publishing (Bloomsbury), 2015) (co-authored with Andrew Harding)

The Shifting Balance of Philanthropic Regulation in China, in Ryan et al (eds.), Philanthropy and Health in China (Indiana University Press, 2015)

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The "Federalization" Problem and Nonprofit Self-Regulation, 99 <u>Kentucky Law Journal</u> 783-798 (2011, symposium issue on nonprofit regulation)

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Philanthropy and Higher Education, Foreword to Across Frontiers: New International Perspectives on Educational Fundraising (Council for Advancement and Support of Education (CASE), 2010)

Contributor, Asia-Pacific regional chapter and Asia case studies (China, Korea, Philippines), <u>Law and Policies Affecting Volunteerism since 2001</u> (United Nations Volunteers, prepared by the International Center for Not-for-Profit Law (ICNL) and the European Center for Not-for-Profit Law (ECNL), 2009), available at http://www.unv.org

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Recent Developments in Community Foundation Law: The Quest for Endowment Building, 85 Chicago-Kent Law Review 657-682 (2010)

Counterterrorism and the Regulation of Civil Society in the United States: The Long Road Since September 11, Development and Change 41(2): 293–312 (2010).

Counter-Terrorism and the Enabling Legal and Political Environment for Civil Society: A Comparative Analysis of "War on Terror" States, 10:3 International Journal of Notfor-Profit Law (June 2008) (winner of the ICNL-Cordaid Civil Liberties Award)

New Directions in the Struggle against Human Trafficking: The Richard B. Lillich Lecture, 17 FSU Journal of Transnational Law and Policy 187-216 (2008)

Vietnamese-American Diaspora Philanthropy to Vietnam, in Paula Johnson (ed.), <u>Diaspora Philanthropy: Existing Models, Emerging Applications</u> (The Philanthropic Initiative and Global Equity Initiative, Harvard University, 2007)

Focusing on the State: Government Responses to Diaspora Philanthropy and Implications for Equity, in Merz, Geithner and Chen (eds.), Diasporas and Development 25-54 (Global Equity Initiative, Harvard University, 2007)

Philanthropy and Law in South Asia: Recent Developments in Bangladesh, India, Nepal, Pakistan, and Sri Lanka (Asia Pacific Philanthropy Consortium, 2007, www.asianphilanthropy.org)

The Third Sector, Human Security, and Anti-Terrorism: The United States and Beyond, 17 Voluntas: International Journal of Voluntary and Nonprofit Organizations 199-210 (2006)

Après le Patriot Act: la seconde vague de l'antiterrorisme aux États-Unis, Critique Internationale no. 32: 26-37 (Institut d'Etudes Politiques de Paris, September 2006)

Diaspora Philanthropy to India: An American Perspective, in Geithner, Johnson and Chen (eds.), <u>Diaspora Philanthropy and Equitable Development in China and India</u> (Global Equity Initiative, Harvard University, 2005)

The Guardians Guarding Themselves: Nonprofit Self-Regulation in Comparative Perspective, 80 Chicago-Kent Law Review 803 (2005)

Philanthropy and Law in South Asia: Key Themes and Key Choices (with Iftekhar Zaman), International Journal of Not-for-Profit Law 7:2 (2005)

Courts, States, Markets and the Nonprofit Sector: Judiciaries and the Struggle for Capital in Comparative Perspective, 78 <u>Tulane Law Review</u> 1611 (2004)

Philanthropy and Law in South Asia: Key Themes and Key Choices (with Iftekhar Zaman), in Philanthropy and the Law in South Asia (ed. Sidel and Zaman) (Asia Pacific Philanthropy Consortium, 2004)

Law, Philanthropy and Social Class: Variance Power and the Battle for American Giving, 36 <u>U.C. Davis Law Review</u> 1145 (2003)

Abridged version published in <u>Proceedings of the National Council of Voluntary</u> Organisations (U.K.) Researching the Voluntary Sector Conference (2002)

The Struggle for Hershey: Community Accountability and the Law in Modern American Philanthropy, 65 Pittsburgh Law Review 1 (2003)

The Nonprofit Sector and the New State Activism, 100 Michigan Law Review 1312 (May 2002) (Review of Norman Silber, A Corporate Form of Freedom: The Emergence of the Nonprofit Sector (Westview Press, 2001)

Understanding Constitutional Amendation in Socialist Transitional Societies: The Case of Vietnam, Singapore Journal of International and Comparative Law symposium on Comparative Constitutionalisms: The Remaking of Constitutional Orders in Southeast Asia, 6 Singapore Journal of International and Comparative Law 42 (2002)

Review of Eleanor L. Brilliant, <u>Private Charity and Public Inquiry: A History of the Filer and Peterson Commissions</u>, 13 <u>Voluntas: International Journal of Voluntary and Nonprofit Organizations 326 (2002)</u>

Philanthropy in India's High Technology Communities and the Complex Search for Social Innovation, <u>Harvard Asia Quarterly</u> (Summer 2001)

An Eye Single for Righteousness, 99 Michigan Law Review 1637 (May 2001) (Review of John Culver and John Hyde, American Dreamer: The Life and Times of Henry A. Wallace, New York: W.W. Norton, 2000)

Resource Mobilization and the New Indian Philanthropy, in Richard Holloway (ed.), Towards Financial Self-Reliance: Resource Mobilisation for Citizens' Organisations in the South (Earthscan, 2001)

Recent Research on Philanthropy and the Nonprofit Sector in India and South Asia, 12 Voluntas: International Journal of Voluntary and Nonprofit Organizations 171 (2001)

Vietnam in 1998: Reform Confronts the Regional Crisis, Asian Survey (1999)

Translations of Regulations on the Registration and Management of Social Organizations (PRC State Council, 1989), Regulations on the Management of Foundations (1988), and

Interim Provisions for the Administration of Chambers of Commerce in China (1989) (each with Yu Hui), in Tom Silk (ed.), Philanthropy and Law in Asia (Jossey-Bass, 1999)

Law, the Press, and Police Murder in Vietnam: Media and the Trial of Nguyen Tung Duong, in David Marr (ed.), The Mass Media in Vietnam (Australian National University, 1998)

The Translation of Foreign Social Science Literature in Vietnam (with David Marr), in David Marr (ed.), The Mass Media in Vietnam (Australian National University, 1998)

A Research Guide to Law in the Democratic Republic of Vietnam (1945-1976) and Early Socialist Republic of Vietnam (1976-1986), Harvard Research Guides to the Legal Systems of East and Southeast Asia, Harvard Law School, 1998

Introduction to and translation of Le Thi, *How I Came to the Vietnamese Revolution*, Signs: Journal of Women in Culture and Society (Summer 1998)

Vietnam in 1997: A Year of Challenges, 28 <u>Asian Survey</u> (1998) The Emergence of a Voluntary Sector and Philanthropy in Vietnam: Functions, Legal Regulation and Prospects for the Future, 8 Voluntas 283 (1997)

Institutional and Generational Transition at the Eighth Congress of the Vietnamese Communist Party, 27 Asian Survey 481 (1997)

The New Corporate Philanthropy in Vietnam, 2 <u>Alliance</u> 77 (1997)

Vietnam: The Ambiguities of State-Directed Legal Reform, in Tan (ed.), Asian Legal Systems: Law, Society and Pluralism in East Asia (Butterworths, 1997)

The United States and Vietnam: The Road Ahead (New York: The Asia Society, 1996)

Vietnam's America Specialists: Policy Research, Strategy Formulation and Scholarly Activity for a New Era, 26 SAIS Review 43 (School of Advanced International Studies, Johns Hopkins University, Summer/Fall, 1996)

Corporate Philanthropy in Vietnam: Initial Data and Initial Problems, in North Viet Nam Now: Fiction and Essays from Hanoi (Viet Nam Forum 15) (Council on Southeast Asian Studies, Yale University) 246 (1996)

Translations of *Those Fifty Years* and *The America I Know*, essays by the Vietnamese scholar Nguyen Khac Vien, North Viet Nam Now: Fiction and Essays from Hanoi (Viet Nam Forum 15) (Council on Southeast Asian Studies, Yale University) 246 (1996)

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Research Institutions in the Socialist Republic of Vietnam Focusing on the Asia-Pacific Region, in Yamamoto (ed.), Emerging Civil Society in the Asia Pacific Community 477-490 (Tokyo and Singapore, 1995)

The Emergence of a Nonprofit Sector and Philanthropy in the Socialist Republic of Vietnam, in Yamamoto (ed.), Emerging Civil Society in the Asia Pacific Community 293-304 (Institute of Southeast Asian Studies (Singapore) and Japan Center for International Exchange (Tokyo), 1995)

Dissident Legal Scholars in China's Cities, Their Organizations, and the Chinese State in the 1980s, in Bullock, Kraus, Perry (eds.), <u>Urban Spaces: Autonomy and Community in</u> Post-Mao China (Cambridge University Press, 1995)

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Enterprise Bankruptcy and the Development of Bankruptcy Law, in Campbell, Child and Lockett, eds., <u>Advances in Chinese Industrial Studies: Reform Policy and the Chinese</u> Enterprise (Manchester University Press, 1990)

Recognition and Enforcement of [Chinese] Foreign Arbitral Awards under the New York Convention (with Mao Tong), East Asian Executive Reports (May 1988)

Maritime Courts in the Middle Kingdom: China's Great Leap Seaward (with Curtis Pew and Robert Jarvis), 11 The Maritime Lawyer 237 (1987)

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Recent and Noteworthy Legal Works Published in the People's Republic of China, 1 Journal of Chinese Law 257 (1987)

Copyright, Patent and Trademark Law in the People's Republic of China, 21 <u>Texas International Law Journal</u> 259 (1986)

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The Legal Protection of Copyright and Authors' Rights in the People's Republic of China, 1949-1981: Prelude to a Chinese Copyright Law, 9 Columbia Journal of Art and the Law 477 (1985)

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Latin American Studies in the People's Republic of China, 18(1) Latin American Research Review 143 (1983)

Translated and republished as *Estudios latinoamericanos en la Republica Popular China*, 20(3) <u>Estudios de Asia y Africa</u> (Mexico City) 470 (1985)

University Enrollment in the People's Republic of China, 1977-1981: The Examination Model Returns, 18 Comparative Education 257 (1982)

Adult Education in the People's Republic of China, 15 Convergence 37 (1982)

Education in the People's Republic of China, The Health of China (Beacon Press, 1982)

Introduction to and translation of *Shanghai Steam-Turbine Works Staff and Workers College Specialty Teaching Plan* 15(1-2) Chinese Education 134 (1982)

Shorter Publications, Reviews, and Interviews

Authoritarian Regimes and the Nonprofit Community, in Kearns and Wang (eds.), Encyclopedia of Nonprofit Management, Leadership and Governance, forthcoming, Edward Elgar, 2023.

Pivotal support for programs in China (Tribute to Franklin Thomas, former President of the Ford Foundation), LAFF [Life After the Ford Foundation] Newsletter, spring 2022, at http://www.laffsociety.org/News.asp?PostID=1140.

MS interview with Neysun Mahboubi, *China's Overseas NGO Law* (and discussion of the early years of the Ford Foundation in China and other topics), Center for the Study of Contemporary China, University of Pennsylvania podcast series, released December 15, 2021 (recorded 2019), at https://cscc.sas.upenn.edu/podcasts/2021/12/15/ep-25-chinas-overseas-ngo-law-mark-sidel

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It just got harder to make a difference in China, ForeignPolicy.com, April 29, 2016, http://foreignpolicy.com/2016/04/29/it-just-got-harder-to-make-a-difference-in-china-harsh-new-ngo-law-clamps-down-on-foreign-organizations/ (paywall)

The Overseas NGO Management Law returns, with a new strategy, Alliance Magazine blog, April 22, 2016, http://www.alliancemagazine.org/blog/china-the-overseas-ngo-management-law-returns-with-a-new-strategy/

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Antiterror Tactics Chill U.S. Campuses, <u>International Herald Tribune</u>, June 28, 2005, www.iht.com/articles/2005/06/27/opinion/edsidel.php

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A Dishonorable Road Home (op-ed article), Chicago Tribune, October 5, 2004, http://articles.chicagotribune.com/2004-10-05/news/0410050212_1_trek-fest-town-riverside-elementary-school

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Self-Regulation of the Nonprofit Sector in Asia: Experiments and Models (Resource paper prepared for the Asia Pacific Philanthropy Consortium, August 2003)

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New Economy Philanthropy in the High Technology Communities of Bangalore and Hyderabad, India: State Partnership and the Ambiguous Search for Social Innovation, Conference on Philanthropy and the City (Center for the Study of Philanthropy, CUNY, 2001), at archive.rockefeller.edu/publications/conferences/sidel.pdf

Endowments and Endowment Building in South Asia, Alliance (U.K.) (June 2001)

The United States and Vietnam: Three Years after Normalization, and Some Thoughts on the Vietnam-US Experience (with Sherry Gray), in Emerging from Conflict: Improving U.S. Relations with Current and Recent Adversaries (The Stanley Foundation, 1999)

U.S.-Vietnam: Revitalizing Stagnant Ties (op-ed article), <u>The Des Moines Register</u> (August 25, 1998)

Review of <u>Vietnam: The Politics of Bureaucratic Socialism</u> (Gareth Porter), 26(2) <u>Journal of Southeast Asian Studies</u> 465 (1995)

New Directions in the Study of Vietnamese Law, 17 Michigan Journal of International Law 705 (1996) (Review of Carl Thayer and David Marr (eds.), Vietnam and the Rule of Law, Canberra: Australian National University, 1993)

Review of <u>Vietnam at the Crossroads</u> (Michael C. Williams) and <u>Political Economy in Vietnam</u> (Kathleen Gough), 24(2) <u>Journal of Southeast Asian Studies</u> 468 (1993)

Education

J.D., Columbia University School of Law, 1985 Foreign Language and Area Studies Fellowship (China), 1983 – 1984

M.A., Yale University, 1982 (History)

Overbrook Fellowship in History; Fellow, Jonathan Edwards College

A.B., Princeton University, 1979 (History)

Honors, Awards, Fellowships, Significant Lectureships (selected)

Keynote address, Center for Social Impact and Philanthropy (CSIP), New Delhi conference on philanthropy in India, March 2022

Keynote address, National Chengchi University Conference on International NGOs and China, Taibei, Taiwan, 2016

Sugarman Memorial Lecture in Nonprofit Law, Case Western Reserve University, 2015

Commencement Lecture, Centre for Social Impact Swinburne and Asia-Pacific Centre for Social Investment and Philanthropy, 2015

Keynote talks, Department of State Democracy, Rule of Law and Labor conference on China, 2013, 2016, 2017, 2018

Neil Burton Memorial Lecture, University of Victoria, 2013

Keynote address, Hebrew University of Jerusalem Conference on Global Philanthropy, 2013

Nonprofit Academic Award, American Bar Association Business Law Section, 2012

Robert C. Staley Distinguished Visiting Professor in East Asian Studies, Arizona State University, 2012 (Philanthropy and civil society in Asia)

Keynote address, International Society for Third Sector Research (ISTR) Asia Pacific Regional Conference, 2009 (Taibei)

Keynote address, Asia Pacific Conference on Nonprofit Accountability, 2009 (Taibei)

Civil Liberties Prize, International Center for Not-for-Profit Law (ICNL) and Catholic Organisation for Relief and Development Aid (Cordaid), 2008 (\$15,000 first prize)

Keynote address, Asia Pacific Philanthropy Consortium Conference on Diaspora Philanthropy (Hanoi), 2008

Lauridsen Family Fellow, University of Iowa, 2007-2011

Faculty Scholar, University of Iowa, 2006-2010

Member, Committee on Nonprofit Self-Regulation of the Advisory Panel on the Nonprofit Sector, Independent Sector (Washington), 2006-2007

Richard B. Lillich Memorial Lecture, Florida State University, 2007

Twelfth Annual James Leahy Freedom Lecture, Moorhead, MN, 2007

Keynote Address, International Society for Third Sector Research (ISTR), International Conference (Bangkok), 2006

Major Supported Research, Consultancies and Assistance to International Institutions, Governments, and Foundations (selected, 2002 – present)

US Department of Justice, 2022-2023 Economic and criminal law prosecution issues involving Vietnam

Federal Public Defender (CA), 2022 Economic and criminal law defense issues involving Vietnam

American Friends Service Committee, 2022 Immigration and asylum issues involving Vietnam

International Center for Not-for-Profit Law, 2019-2020 Nonprofit law reform in India

New York University, 2016-2017, 2019 Review of law-related projects in China

Swedish International Development Agency/Indevelop (Stockholm), 2015 | Review of China human rights programs of the Raoul Wallenberg Institute

United Nations Development Programme (Bangkok), 2015 | Civil space and civil society regulation in Asia

Danish Ministry of Foreign Affairs/Danish International Development Agency (DANIDA), 2014-2015
Review of Danish civil society/human rights programs in China

Norwegian Center for Human Rights (Oslo), 2014-2015 Programmatic development and review in China and Vietnam

United Nations Development Programme (Vietnam), 2012-2013 Consultant on legal reform and civil society in Vietnam

International Center for Not-for-Profit Law (ICNL), 2009-2022
Primary consultant under MacArthur Foundation grant for work on nonprofit law in China; primary consultant for work in Asia; consultant for work on civic space and civil society regulation in Asia

Harvard Program on Disability, Harvard Law School, 2013 Consultant for evaluation of program work in China

The Resource Alliance, 2012-2013
Consultant on international philanthropy regulation and policy (focusing on Asia)

*The Ford Foundation, 2012-2013*Review of the Foundation's law programs in China, 2002-2012

United Nations Development Programme (UNDP), 2011-2012 Consultant on philanthropy and civil society in comparative perspective, focusing on China, India, the Philippines, Russia, and Vietnam

American Bar Association/U.S. State Department, 2011 Consultant for evaluation of public interest law programs in China

United Nations Development Programme (UNDP), 2010-2011 Consultant for research on issues in constitutional amendment in Vietnam

United Nations Development Programme (UNDP) and Ministry of Justice (Vietnam), 2010-2011, Senior international advisor on \$6.5 million project on Access to Justice and Protection of Rights in Vietnam

Economic and Social Research Council (ESRC), UK, 2010 Consultant for the evaluation of the Non-Governmental Public Action Research Programme (NGPAP)

International Center for Not-for-Profit Law (ICNL) and United Nations Volunteers (UNV), 2008, Adviser on law and policy on volunteering and volunteerism in Asia

United Nations Development Programme, 2007-2015

Adviser on donor support for legal and judicial reform in Vietnam; comparative research on civil society participation in lawmaking; development of clinical legal education; key problems in legal education; alternatives in constitutional reform and constitutional review; research on judicial and prosecutorial corruption

Brennan Center for Justice, New York University, and WilmerHale, 2008
Declaration on U.S. government requirements for U.S. nongovernmental organizations operating abroad in connection with litigation

Asia Pacific Philanthropy Consortium, 2007 – 2008 Overview paper and keynote on diaspora philanthropy to Asia, 1998-2008: The state of the field

Hewlett Foundation and The Philanthropic Initiative, 2007 Research and report on diaspora philanthropy from the United States

Government of Canada, Commission of Enquiry into the Investigation of the Bombing of Air India Flight 182, 2006-2007, Study and testimony on charities and terrorist finance in the U.K., U.S. and Australia

U.S. Agency for International Development (MSI), 2007 Strategic priorities in Vietnamese law and governance reform

Serious Organised Crime Agency (UK), 2006-2007 Assistance on Vietnamese law in connection with a major criminal case in Vietnam

Baker and Hostetler, Denver Foundation v. Wells Fargo Bank, 2006-2007 Legal expert on community foundation variance and investment power issues

United Nations Development Programme (UNDP) and Vietnam Union of Science and Technology Associations, 2006

Consultant on Vietnamese Law on Associations and nonprofit law

United Nations Development Programme (Asia regional programme), 2005-2006 Research study on social science institutions in Asia

U.S. Department of State (Office to Monitor and Combat Trafficking in Persons), 2004 Research report on labor law and labor export in Vietnam

U.S. Justice Department (Civil Rights Division), 2002-2003 Litigation assistance on labor law and labor export in Vietnam, U.S. v. Kil Soo Lee et al (Daewoosa Samoa))

Other research support and consultancies (selected): Aga Khan Foundation, American Council of Learned Societies (ACLS), Asia Foundation, Asia Pacific Philanthropy Consortium, Asian Development Bank, Avaaz, Center for Educational Exchange with Vietnam, Cooperation Committee for Cambodia, Economics Institute (Boulder), Ford Foundation, Global Equity Initiative (Kennedy School of Government, Harvard), International Center for Not-for-Profit Law (ICNL), Luce Foundation, McKnight Foundation, National Foreign Affairs Training Center/Foreign Service Institute (Washington), Oxfam International, Oxfam America, Oxfam Hong Kong, Pakistan Centre for Philanthropy, Refugee and Migrant Justice/Refugee Legal Centre (London), SNV (Dutch overseas aid), The Philanthropic Initiative, Resource Alliance, United Nations Development Programme (UNDP), U.S. Department of Justice, U.S. State Department, Stanley Foundation, University of Iowa, Vietnamese Ministry of Justice, Vietnam Union of Science and Technology Organizations (VUSTA), World Bank, and other institutions

<u>Lectures, Conference Papers, and Reports to Academic Institutions, Governmental</u> <u>Bodies and Professional Associations</u> (selected, primarily 2011 – 2022)

Aga Khan Foundation, Islamabad Arizona State University Asia Pacific Regional Conference on Nonprofit Accountability, Taibei Association for Asian Studies (multiple) Association of American Law Schools (AALS) (multiple) Australian Centre for Philanthropy and Nonprofit Sector Studies (multiple)

ARNOVA (Association for Research on Nonprofit Organizations and Voluntary Action)

(multiple conferences)

Asia Pacific Philanthropy Consortium Conference (multiple)

Association of American University Presses (AAUP)

Augustana College, Freistat Center for Studies in World Peace

Business for Social Responsibility

Case Western Reserve University School of Law

Centre for Advancement of Philanthropy, Mumbai

Centre for Social Impact and Philanthropy, Delhi

Chicago-Kent Law School and Chicago-Kent Law Review (multiple)

China Charity Conference

China Medical Board

China-US Legal Education Conference, Suzhou

China-US Legal Experts Dialogue

City University of New York, Center for the Study of Philanthropy,

Concordia College, Fargo

Consultative Group on Biological Diversity, San Francisco

Cooperation Committee for Cambodia, Phnom Penh

Cordaid (The Hague)

Council on Foreign Relations

Council on Foundations annual conference (multiple)

Dartmouth College

Government of the Democratic People's Republic of Korea (North Korea), State Economic

Commission, Pyongyang

Florida State University Law School

Government of Canada, Commission of Inquiry into the Investigation of the Bombing of

Air India Flight 182 (Major Commission), Ottawa

Government of Vietnam, Ministry of Justice (multiple)

Grinnell College, Rosenfield Program on Public and International Affairs

Harvard Law School (multiple)

Harvard University (multiple)

Hebrew University of Jerusalem

Indiana University, Lilly Family School of Philanthropy

Institut d'Etudes Politiques de Paris (Sciences Po)

International Center for Not-for-Profit Law (ICNL) workshops (Bangkok; Kiev)

International Society for Third Sector Research (ISTR) (multiple international conferences and

Asia regional conferences)

Iowa City Foreign Relations Council

Israel Center for Third Sector Research

Johns Hopkins University, Center for Civil Society Studies

LatCrit Annual Conference

London School of Economics, Centre for Civil Society

McGill University

National Association of State Charity Officials

National Council for Voluntary Organisations, England

National University of Singapore, Faculty of Law (multiple)

Norwegian Center for Human Rights, Oslo and Hanoi

NYU Law School

Osaka University, Osaka School of Int'l Public Policy, Center for the Third Sector

Princeton University

School of Oriental and African Studies (SOAS), University of London

Shenzhen Municipality, China

Sun Yat-Sen University, Guangzhou

The Asia Society

United Nations Development Programme (Vietnam) (multiple)

University of Florida College of Law

University of Iowa (multiple)

University of Melbourne Centre for Contemporary Chinese Studies (multiple)

University of Melbourne Law School

University of Missouri Law School, Columbia

University of Montana

University of Pescara, Italy

University of Victoria (multiple)

University of Washington, Evans School of Public Affairs

University of Wisconsin-Madison (multiple)

University of Wyoming Law School

U.S. Agency for International Development

Vermont Law School

Vietnamese Academy of Social Sciences (VASS)

U.S. State Department

External Service and Positions Held

Current board/advisory board service

ACLU of Wisconsin (US)

Asia Catalyst (US)

International Center for Not-for-Profit Law (ICNL)

The Rights Practice (US)

Lin Center for Community Development (Vietnam)

Past board/advisory board service

AALS Section on Nonprofit and Philanthropic Law (Chair, 2009-10)

AALS Section on South Asian Law

ARNOVA (Association for Research on Nonprofit Organizations and Voluntary Action)

Business for Social Responsibility (BSR), CiYuan Philanthropy Initiative (China)

Cartha (international NGO)

Community Foundation of Johnson County (Iowa)

Council on Foundations Community Foundations National Standards Board

Council on Foundations Legal and Regulatory Affairs Advisory Committee

Crane-Rogers Foundation (Institute of Current World Affairs)

Dana Asia (international NGO)

Golden Bridges Foundation

Human Rights Watch Asia advisory board

International Center for Not-for-Profit Law (ICNL)

International Society for Third Sector Research (ISTR) (President, 2010-12) Kumarian Press
Maxwell School, Syracuse University, Transnational NGO Initiative Society of American Law Teachers (SALT)
University of Iowa Confucius Institute
University of Iowa Human Rights Center
University of Iowa Press
University of Wisconsin Press
YMCA Camp Wapsie (Coggon, Iowa)

Editorial board/editorial advisory committee member (current)

Asian Journal of Law and Society (Singapore)
Australian Journal of Asian Law (Melbourne)
Nonprofit and Voluntary Sector Quarterly
Third Sector Review (Perth)
USALI Perspectives (US-Asia Law Institute, NYU)
Voluntas: International Journal of Nonprofit and Voluntary Organizations

<u>Memberships</u>

National Committee on U.S.-China Relations Institute of Current World Affairs (Crane-Rogers Foundation)

Languages

Chinese (reading and speaking) Vietnamese (reading)

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